UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

MARIANNE ANTCZAK, on behalf of herself and other similarly situated persons

CLASS ACTION

Plaintiff,

NO. 2:17-cv-04947 (TJS)

VS.

TD AMERITRADE CLEARING, INC., TD AMERITRADE, INC., TD AMERITRADE INVESTMENT MANAGEMENT, LLC, ULTIMATE FINANCIAL INVESTMENTS, LLC and BRIDGET A. FERNANDEZ, individually and professionally

Defendants.

MEMORANDUM IN RESPONSE TO THE CORRECTED MEMORANDUM IN SUPPORT OF THE TDA DEFENDANTS' MOTION TO STRIKE THE CLASS ACTION ALLEGATIONS AND COMPEL ARBITRATION OR, IN THE ALTERNATIVE, <u>DISMISS THE COMPLAINT</u>

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